

PATENTS  
AAA/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : William K. Slate II  
Application No. : 09/990,402 Confirmation No. : 3669  
Filed : November 21, 2001  
For : ELECTRONIC SYSTEMS AND METHODS FOR  
DISPUTE MANAGEMENT  
Group Art Unit : 3621  
Examiner : Evens J. Augustin

New York, New York 10036  
September 21, 2009

MAIL STOP RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following documents of record in the above-identified patent application:

U.S. Patent Documents

5,182,705	01-26-1993	Barr et al.
2002/0147604	10-10-2002	Slate
2002/0147603	10-10-2002	Slate
2002/0161597	10-31-2002	Klibaner
2004/0059596	03-25-2004	Vaidyanathan et al.
6,850,918	02-01-2005	Vaidyanathan et al.
7,529,679	05-05-2009	Pomerance

Foreign Patent Documents

WO 00/68865

11-16-2000

NAM Corporation

Non-Patent Literature

MITCHELL, "Handling Consumer Complaint Information: Why and How?," *Logistics Information Management*, 6:20-26 (1993).

"Get it Straight From the Consumer," *Success*, 40:41 (1993).

This U.S. patent application claims priority under 35 U.S.C. 120 of provisional application no. 60/305,215, now expired, filed July 12, 2001, which claims priority of provisional application 60/252,226, now expired, filed November 21, 2000. Applicants have not provided copies of U.S. patents and U.S. patent application publications as per 37 C.F.R. § 1.98(a)(2). However, if the Examiner desires applicants to submit any of these documents, applicants request that the Examiner notify applicants in the next communication.

Copies of the aforementioned Foreign Patent, NPL and prosecution documents are enclosed herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-SB/08A, as considered and initialed by the Examiner, be returned with the next communication.

The Examiner is advised that the following patent applications (which are also identified on the attached PTO/SB/08) contain subject matter that may be related to the pending claims in the present application. In particular, applicants would like to draw the Examiner's attention to the fact that substantive examination has occurred in these

cases. Copies of Office Actions that have issued and Replies that have been filed in these cases are submitted herewith. Applicants invite the Examiner to review these documents or any future substantive documents that will issue or be filed in any of these cases. By bringing these patents and patent applications to the Examiner's attention, applicants do not waive any applicable confidentiality provisions of 35 U.S.C. 122.

Patent Prosecution Documents

Office Actions and Replies for U.S. Application Serial No. 09/991,223 filed November 21, 2001  
Office Actions and Replies for U.S. Application Serial No. 10/303,146 filed November 21, 2002

It is respectfully requested that all of the aforementioned documents be fully considered by the Patent and Trademark Office during examination of this application. Applicants reserve the right to establish the patentability of the claimed invention over any of the supplemental information provided herewith, and/or to prove that this supplemental information may not be prior art, and/or to prove that this supplemental information may not be enabling for the teachings purportedly offered.

This Information Disclosure Statement is being submitted with the filing of a Request for Continued Examination (RCE). No fee is believed to be due. However, the Director is hereby authorized to charge any additional fees to Deposit Account No. 06-1075.

An early and favorable action is respectfully  
requested.

Respectfully submitted,

/Michael J. Chasan/

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